



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of
Ossining et al.

Serial No. 09/781,279

Group Art Unit: 2165

Filed: 2-13-00

Examiner: unknown

For: **METHOD AND SYSTEM FOR FORMING DYNAMIC VENDOR
COALITIONS IN COLLABORATIVE E-COMMERCE**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 C.F.R. 1.97 through 1.98 and pursuant to applicant's duty of disclosure under 37 C.F.R. 1.56, applicant respectfully brings the following document, cited for the above- identified patent application and listed on the attached forms PTO-1449, to the attention of the Examiner in charge of the above-identified application.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

It is respectfully requested that the listed references be formally made of record in the application. Please charge any deficiencies in fees and credit ant overpayment of fees to Attorney's deposit account 50-0510.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Michael E. Whitham', written in a cursive style.

Michael E. Whitham

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Customer # 30743



Docket No. 00280656AA In re: patent/☒ trademark application of

Applicant(s) OSSINING et al.

Serial No. 09/781,279 Date Filed 2-13-01

Papers filed herewith on 11-4-04

 Fees \$ Deposit Account No. (if applicable)
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00280656AA

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**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
00280656AA

Application Of: Ossining et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/781,279	2-13-01	unknown	30743	2165	9443

Invention: **METHOD AND SYSTEM FOR FORMING DYNAMIC VENDOR COALITIONS IN COLLABORATIVE
E-COMMERCE**

COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☒ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Signature

Dated: 11-4-04

Michael E. Whitham
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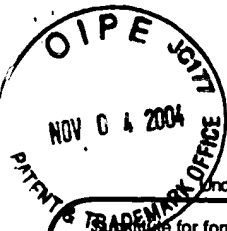
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	09/781,279
				Filing Date	February 13, 2001
				First Named Inventor	Nitin Nayak
				Art Unit	
				Examiner Name	
Sheet	1	of	13	Attorney Docket Number	81400.0192

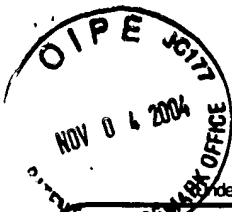
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	1	H.T. GORANSON, " Agile Virtual Enterprise; Agile Practice Reference Base", May 1995, pages 164-174	
	2	SAL SCARUBGELLA AND DR. PAUL ERICKSON; "Agility Forum; Enterprise Intergratuib Assessment Tool and Reference Base Cases In Intergrated Product & Process Development" , The Fifth National Agility Conference 1996, pages 88-89	
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	6	P. ROBERT OWENS, "Process Management Technology", Suggested Advanced Technology Program; Workshop on Virtual Enterprise, March 29-30, 1994, pages 54-55	
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	10	MEHDI KHOSROWPOUR, "Effective Utilization And Management Of Emerging Information Technologies", 1998 Information Resources Management Assocation International Conference, Boston, MA, May 17-20, 1998, page 33	
	11	MICHAEL MULLER-WUNSCH, "The Execudesk-Project: Managing The Virtual Corporation" Journal of Computer Information Systems, Volume XXXVI Number 2 Winter 1995-1996, page 6	

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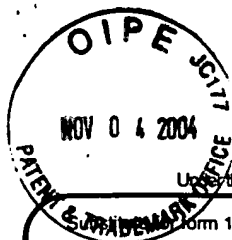
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	12	DEVIA NAPOLEAN, NAGEL N. ROGER, AND NICKEL Y. TED "NTIS, Agile Web Pilot Program", How to Determine the Core Compencies of an Agile Web" March 1997, pages 130-149, MARK S. LANG, CONNIE R. FAYLOR, CRAIG A. HILL, DONNA A. LORAH, TED Y. NICKEL "AGILE WEB PILOT PROGRAM, March 1997, pages 22-25, 45-46	
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	15	LUIS M. CAMARARINH-MATOS AND HAMIDEH AFSAFMANESH, "Virtual Enterprises: Life Cycle Supporting Tools And Technologies", October 1999, page 17	
	16	L.M. SPINOSA, A.C.M. HOFMANN, R.J. RABELO AND A.A. PEREIRA KLEN, "Basic services for the management of Virtual Enterprises - A Case Study", The 3 rd IEEE/IFIP International Conference, August 1998, page 7	
	17	LUIZ MARCIO SPINOSA, RICHARDO J. RABELO, ALEXANDRA A. PEREIRA KLEN AND ARUEO C. FERREIRA, "A oriented Decision Support System model for Virtual Enterprise coordination", PROLAMAT'98, September 1998, page 4	
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	22	TEAM, Technologies Enabling Agile Manufacturing, "TEAM Models", Strategic Plan, Year 2 Update, December 1995, pages 36-41	

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				First Named Inventor	Nitin Nayak
				Art Unit	
				Examiner Name	
Sheet	3	of	13	Attorney Docket Number	81400.0192

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	23	ZHAN SU and DIANE POULIN, "Partnership Management within the Virtual Enterprise in a Network" Managing Virtual Enterprises, IEMC 96, page 645	
	24	CINDA VOEGTTI, "Real-World Challenges: Using the Virtual Enterprise for Successful Product Development Projects", Managing Virtual Enterprises, IEMC 96, pages 701-702	
	25	WILLIAM BARNETT, ADRIEN PRESLEY, MARY JOHNSON AND D.H. LILES, "An Architecture for the Virtual Enterprise", International Conference on Systems, Man and Cybernetics, 1994 IEEE, 1, page 507	
	26	DR. JOHN FROMSON, "Managing the Virtual Company (WITH RESPECT TO AGILE MANUFACTURING)", Vanguard Medica Limited, page 3	
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	31	P.D. KTENIDIS AND A.T. PARASKEVOPOULOS, "Information and Communication Technology (ICT) Infrastructure for Small and Medium Enterprises (SMEs) Networks: Achievements and Work-in Progress from Three European Projects", Research Issues on Data Engineering: Information Technology for Virtual Enterprises, RIDE-VE-99, Proceedings, Ninth International Workshop on Data Engineering, 1999, page 47	
	32	JUSTUS KLINGEMANN, JURGEN WASCH AND KARL ABERER, "Deriving Service Models in Cross-Organizational Workflows", In Proceedings of RIDE Information Technology for Virtual Enterprises, March 23-24, 1999	
	33	C. ROCHE, S. FITOURI, R. GLARDON, AND M. POULY "The Potential of Multi-Agent Systems in Virtual Manufacturing Enterprises", DEXA Workshop 1998, page 918	

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Sheet 4 of 13

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	34	PAULO CINANCARINI, V. JUGGY JAGANNATHAN, MARK KLEIN AND WIL VAN DER AALST, "Working Group Report on Process", Callahan, J.r.: Proceedings of the Fourth Workshop on Enabling Technologies Infrastructure for Collaborative Enterprises (WETICE 95), IEEE Computer Society Press, April 1995, page 11	
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	36	AZAD M. MADNI, CARLA C. MADNI AND CLIFF STOGDILL, "Web-enabled Process Support for Planning the Formation of a Virtual Enterprise", ProcessWebTM, Proceedings of the IEEE International Conference Systems, Man, and Cybernetics, San Diego, California 11-14, 1998, pages 2591-2596	
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	39	L. M. SANCHEZ AND R. NAGI, "Review of Agile Manufacturing Systems", International Journal of Production Research, 2001, Vol. 39 Pages 3588-3589	
	40	HAMIDEH AFSARMANESH AND LUIS M. CAMARINHA-MATOS, "Federated Information Management for Cooperative Virtual Organizations", Lecture Notes in Computer Science Proceedings of the 8th International Conference on Databases and Expert Systems Applications, 1997, Page 568	
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	42	The DARPA Agile Manufacturing Integrated Program Summary	
	43	DR. AZAD MADNI, "Composition and Analysis of Customer-Supplier Processes for Virtual Enterprise Formation and Outsourcing Decision Support", Case Study 4 Process Modeling, January 1996 through April 1998, Pages CS-4-1-CS-4-8	
	44	COMPETITIVE TECHNOLOGIES, INC, "Final Report, Strategic Planning and Operation Tools for Agile Enterprise - CD for Virtual Organization Handbook for Windows", October 31, 1996, Pages 1-33	

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	45	NATIONAL INDUSTRIAL INFORMATION INFRASTRUCTURE PROTOCOLS (NIIP), "NIIP Cycle Scenarios", NIIP Reference Architecture Book 6, December 29, 1998, Pages 13, 19-22 and 77-81	
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	50	GEORGE METES, JOHN GUNDRY AND PAUL BRADISH, "Competing through the Internet and Intranets" Agile Networking, Pearson Professional Education, Published: December 1997, Pages 135-139	
	51	DEBORAH BORFITZ, "The Networking of Mom & Pop, Inc.", GovernSIRKKA Ling, Volume 6, Number 9, June 1993, Pages 22-23	
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	53	SIRKKA L. JARVENPAA, BLAKE IVES, AJIK AKMBIL AND JAMES E. SHORT, "The Global Network Organization of the Future: Information Management Opportunities and Challenges", Journal of Management Information Systems, Vol. 10, No. 4, Spring 1994, Pages 25-57	
	54	A. GUNASEKARAN, "Special Issue: Design and Implementation of Agile Manufacturing Systems", International Journal of Production Economics: Manufacturing Systems, Strategy & Design, Volume 62, Nos. 1-2, May 10, 1999, Pages 23-32	
	55	ROBERT M. MONCZKA, KENNETH J. PETERSEN, ROBERT B. HANDFIELD AND GARY L. RAGATZ "Success Factors in Strategic Supplier Alliances: The Buying Company Perspective" Decision Sciences, Volume 29, Number 3, Summer 1998, Pages 553-576	

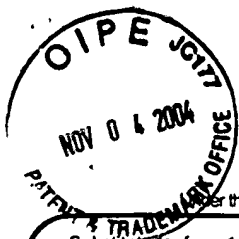
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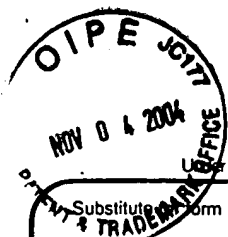
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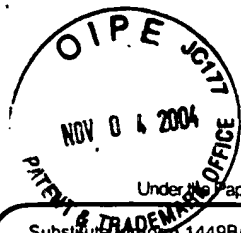
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	09/781,279
				Filing Date	February 13, 2001
				First Named Inventor	Nitin Nayak
				Art Unit	
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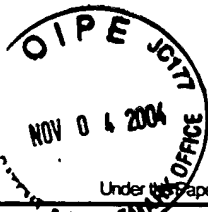
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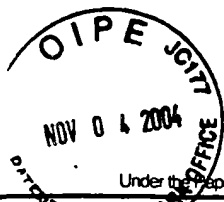
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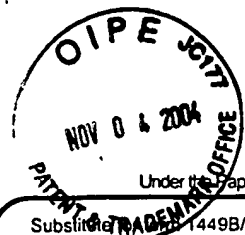
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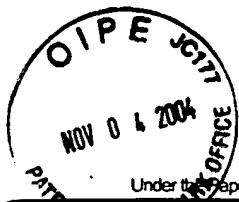
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(Use as many sheets as necessary)

Sheet 13 of 13

Complete if Known

Application Number	09/781,279
Filing Date	February 13, 2001
First Named Inventor	Nitin Nayak
Art Unit	
Examiner Name	
Attorney Docket Number	81400.0192

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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